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JC02 Rec'd PCT/PTO 27 MAR 2002

Practitioner's Docket No. 100696.0011US1

CHAPTER II

**TRANSMITTAL LETTER  
TO THE UNITED STATES ELECTED OFFICE (EO/US)  
(ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)**

PCT/US00/42673

06 December 2000 (6.12.00)

09 November 2000 (9.11.00)

International Application No.

International Filing Date

Priority Date Claimed

TITLE OF INVENTION: SYSTEMS AND METHODS FOR NEGOTIATED RESOURCE UTILIZATION

APPLICANT(S): SRI International; Lincoln, Patrick; Dawson, Steven and Stringer-Calvert, David

**Box PCT**

**Assistant Commissioner for Patents**

**Washington D.C. 20231**

**ATTENTION: EO/US**

1. Applicant herewith submits to the United States Elected Office (EO/US) the following items under 35 U.S.C. § 371:
  - a. This express request to immediately begin national examination procedures (35 U.S.C. § 371(f)).
  - b. The U.S. National Fee (35 U.S.C. § 371(c)(1)) and other fees (37 C.F.R. § 1.492) as indicated below:

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**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
(When using Express Mail, the Express Mail label number is mandatory;  
*Express Mail certification is optional.*)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

- ☐ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231  
37 C.F.R. § 1.8(a) 37 C.F.R. § 1.10\*
- ☐ with sufficient postage as first class mail. ☒ as "Express Mail Post Office to Addressee"  
Mailing Label No. EV062681635US

**TRANSMISSION**

- ☐ facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

Date: 3/27/02

  
Erika Simpson

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## 2. Fees

CLAIMS FEE*	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	20 - 20 =	0	x \$0.00 =	\$0.00
	INDEPENDENT CLAIMS	3 - 3 =	0	x \$0.00 =	\$0.00
	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$0.00	\$0.00
BASIC FEE	U.S. PTO WAS INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY Where an International preliminary examination fee as set forth in § 1.482 has been paid on the international application to the U.S. PTO: and the international preliminary examination report states that the criteria of novelty, inventive step (non-obviousness) and industrial activity, as defined in PCT Article 33(1) to (4) have been satisfied for all the claims presented in the application entering the national stage (37 C.F.R. § 1.492(a)(4))..... \$50.00				\$100.00
	Total of above Calculations				= \$100.00
SMALL ENTITY	Reduction by 1/2 for filing by small entity, if applicable. Assertion must be made. (note 37 C.F.R. § 1.27)				- \$50.00
	Subtotal				\$50.00
	Total National Fee				\$50.00
	Fee for recording the enclosed assignment document \$40.00 (37 C.F.R. § 1.21(h)). See Item 13 below). See attached "ASSIGNMENT COVER SHEET".				\$0.00
TOTAL	Total Fees enclosed				\$50.00

\*See attached Preliminary Amendment Reducing the Number of Claims.

Attached is a check in the amount of \$50.00.

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Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate copy of this sheet is enclosed.

### Assertion of Small Entity Status

Applicant hereby asserts status as a small entity under 37 C.F.R. § 1.27.

3. A copy of the International application as filed (35 U.S.C. § 371(c)(2)) is not required, as the application was filed with the United States Receiving Office.
4. A translation of the International application into the English language (35 U.S.C. § 371(c)(2)) is not required as the application was filed in English.
5. Amendments to the claims of the International application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have been transmitted by the International Bureau.  
Date of mailing of the amendment (from form PCT/IB/308): 21 September 2001
6. A translation of the amendments to the claims under PCT Article 19 (38 U.S.C. § 371(c)(3)) is not required as the amendments were made in the English language.
7. A copy of the international examination report (PCT/IPEA/409) Not required
8. Annex(es) to the international preliminary examination report is/are not required as the application was filed with the United States Receiving Office.
9. A translation of the annexes to the international preliminary examination report is not required as the annexes are in the English language.
10. An oath or declaration of the inventor (35 U.S.C. § 371(c)(4)) complying with 35 U.S.C. § 115 will follow.

### II. Other document(s) or information included:

11. An International Search Report (PCT/ISA/210) or Declaration under PCT Article 17(2)(a) has been transmitted by the International Bureau.

Date of mailing (from form PCT/IB/308): 1 August 2001.

12. An Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98 will be transmitted within THREE MONTHS of the date of submission of requirements under 35 U.S.C. § 371(c).

### 13. Additional documents:

- a. Preliminary amendment (37 C.F.R. § 1.121)

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14. The above items are being transmitted before 30 months from any claimed priority date.

#### AUTHORIZATION TO CHARGE ADDITIONAL FEES

Please charge, in the manner authorized above, the following additional fees that may be required by this paper and during the entire pendency of this application:

37 C.F.R. § 1.492(a)(1), (2), (3), and (4) (filing fees)

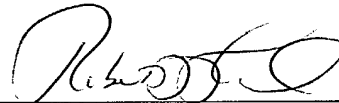
37 C.F.R. § 1.492(b), (c), and (d) (presentation of extra claims)

37 C.F.R. § 1.17 (application processing fees)

37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))

37 C.F.R. § 1.492(e) and (f) (surcharge fees for filing the declaration and/or filing an English translation of an International Application later than 30 months after the priority date).

Date: 3/26/02



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